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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/534,430	07/18/2005	Anthony Spencer	0120-034	5040

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EXAMINER	
CHOE, YONG J	

ART UNIT	PAPER NUMBER
2185	

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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	Application No. 10/534,430	Applicant(s) SPENCER, ANTHONY	
	Examiner Yong Choe	Art Unit 2185	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 11 November 2003.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 19-37 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 19-37 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date <u>05/10/2005</u> . | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

1. The instant application having Application No. 10/534430 has a total of 19 claims pending in the application. There are 4 independent claims (e.g., claim 19,32,35 and 36) and 15 dependent claims, all of which are ready for examination by the examiner.

### ***Priority***

2. As required by M.P.E.P. 201.14(c), acknowledgment is made of applicant's claim for priority based on an application filed on 11/11/2003.

### ***Information Disclosure Statement***

3. As required by M.P.E.P. 609 (C), the applicant's submission of the information Disclosure Statement dated 05/10/2005 is acknowledged by the examiner and the cited references have been considered in the examination of the claims now pending. As required by M.P.E.P. 609 C(2), a copy of the PTOL-1449 initialed and dated by the examiner is attached to the instant office action.

### ***Oath/Declaration***

4. The applicant's oath/declaration has been reviewed by the examiner and is found to conform to the requirements prescribed in 37 C.F.R. 1.63.

### ***Drawings***

5. The drawings submitted on 05/10/2005 are acceptable for examination purposes.

***Specification***

6. The disclosure is objected to because of the following informalities:

(A) Acronyms should be spelled out at its first use. For example, The "SIMD" in applicants abstract should be spelled out and the "SIMD" / "MIMD" in applicants disclosure should be spelled out.

(B) There is a spelling error in abstract. The "perfumed" should be "performed".  
Appropriate correction is required.

***Claim Objections***

7. Claim 27 is objected to because of the following informalities:

There is a spelling error in claim 27. The "organised" should be "organized".  
Appropriate correction is required.

***Claim Rejections - 35 USC § 103***

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

9. **Claims 19-37** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Steely, Jr. et al. (US Patent No.: US 6088771)** in view of **Dieffenderfer et al. (US Patent No.: US 5822608)**.

**Regarding independent claims 19,32,35 and 36,** Steely discloses a state engine (Fig.2: switch 200) receiving multiple requests from a multiple processor system (Fig.2: multiple processor system) for a shared state (Fig.2: shared memory 150), the state engine (Fig.2: switch 200) comprising at least one state element (Fig.2: arbiter 240) means, said at least one state element (Fig.2: arbiter 240) means adapted to operate, atomically, on said shared state (Fig.2: shared memory 150) in response to a request made by said multiple processor system (Fig.2: multiple processor system) (Fig.2; and col.9, lines 26-51; and col.10, lines 24-54: The switch receives multiple requests from multiple processors for shared memory and arbiter operates the requests received from multiple processors).

However, Steely does not specifically teach the request is made by said parallel processor.

Dieffenderfer teaches teach the request is made by said parallel processor. (Fig.4 and col.12, lines 51-53: Fig.4 illustrates a basic picket configuration of a plurality of parallel processors and memories, picket units, arranged in a row on a single silicon chip as part of a parallel array which may be configured as a SIMD subsystem.).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the parallel processor as taught by Dieffenderfer into

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multiple processor system with shared memory of Steely because each processor may execute a separate program operating on a separate data set (col.1 line 65 – col.2, line 3). Therefore, it would have been obvious to combine the parallel processor as taught by Dieffenderfer with multiple processor system with shared memory of Steely to obtain the invention.

**Regarding claim 20**, Steely teaches wherein the operation performed by said at least one state element means is a single read-modify-write operation (col.6, lines 29-33: Probes include Forwarded Read (Frd) commands, Forwarded Read Modify commands and invalidate commands. Forwarded-Read-Modify is analogous to the read-write-modify operation).

**Regarding claim 21**, Steely teaches wherein said shared state comprises a single item of state (Fig.2: single shared memory 150).

**Regarding claim 22**, Steely teaches wherein said shared state comprises multiple items of state (col.10, lines 31-32: there may be multiple banks of the shared memory).

**Regarding claim 23**, Steely teaches wherein said state comprises a single storage location or a data structure in storage (Fig.2 and col.5, line 54: A shared data structure 160 is provided).

**Regarding claim 24**, Steely teaches wherein the operation performed by said at least one state element means is carried out as a fixed or hardwired operation (Fig.2:

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arbiter is implemented in hardware. Thus the arbiter (i.e., state element means) is carried out as a hardwired operation unless a programmed operation is mentioned).

**Regarding claim 25**, Steely teaches supplying data to update said shared state (col.4, lines 22-34: arbiter select a request received from multiple processor and update the shared memory).

**Regarding claim 26**, Steely teaches sending a command and data to said shared state, whereby said operation is programmable (col.1, lines 11-24).

**Regarding claim 27**, Steely teaches a plurality of said state element means organised into state cell means, whereby operations performed on said shared state are pipelined (see Fig.2 and col.4, lines 22-34: i.e., atomic ordering process).

**Regarding claims 28**, Steely teaches a plurality of said state cell means, whereby to allow multiple requests to be handled concurrently (col.10, lines 31-33: there may be multiple banks of the shared memory and multiple request queues per processor).

**Regarding claim 29**, Steely teaches input and output interconnect means providing access to and from said state cell means, a bus interface for said input and output interconnect means, said bus interface interfacing with a system bus and a control unit of a processing element for controlling accesses to said shared state (col.6, lines 61-67 and col.7, lines 1-12: FIG. 2 is a schematic block diagram of the local switch 200 comprising a plurality of ports 202-210, each of which is coupled to a respective processor (P1-P4) 102-108 and IOP 130 via a full-duplex, bi-directional clock forwarded data link. Each port includes a first-in, first-out (FIFO) input and output queue set; that

is, each port includes a respective input (request) queue 212-220 for receiving, e.g., a memory reference request issued by its processor, a respective output (probe) queue 222-230 for receiving, e.g., a memory reference probe issued by system control logic associated with the switch, and a respective output (fill) queue 262-270 for receiving, e.g., requested data provided by another processor of the system. An arbiter 240 arbitrates among the input queues to grant access to the Arb bus 170 where the requests are ordered into a memory reference request stream. In the illustrative embodiment, the arbiter selects the requests stored in the input queues for access to the bus in accordance with an arbitration policy, such as a conventional round-robin algorithm).

**Regarding claim 30**, Steely teaches wherein each said state element means comprises local memory, and each field of a data record is stored in a respective memory of a respective state element means (col.5, lines 54-67).

**Regarding claim 31**, Dieffenderfer teaches wherein each said state element means comprises a local memory for said shared state, an arithmetic unit adapted to perform the operation on said state in said local memory, and command and control logic to control said operation (col.18, lines 1-12).

**Regarding claim 33**, Dieffenderfer teaches wherein said parallel processor is an array processor (see Fig.4).

**Regarding claim 34**, Dieffenderfer teaches wherein said array processor is a SIMD processor (Fig.4 and col.12, lines 51-53: Fig.4 illustrates a basic picket configuration of a plurality of parallel processors and memories, picket units, arranged in



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a row on a single silicon chip as part of a parallel array which may be configured as a SIMD subsystem.).

**Regarding claim 37**, Dieffenderfer teaches teach a parallel processor implemented on a single silicon chip (Fig.4 and col.12, lines 51-53: Fig.4 illustrates a basic picket configuration of a plurality of parallel processors and memories, picket units, arranged in a row on a single silicon chip as part of a parallel array which may be configured as a SIMD subsystem.).

### ***Conclusion***

10. Claims rejected in the application

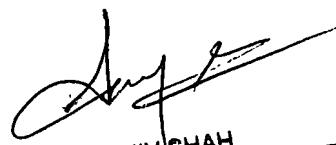
Per the instant office action, claims 19-37 have received a first action on the merits and are subject of a first action non-final.

11. Any inquiry concerning this communication should be directed to **Yong Choe** at telephone number **571-270-1053** or email to **yong.choe@uspto.gov**. The examiner can normally be reached on M-F 9:30am to 6:00pm. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, **Sanjiv Shah** can be reached on **571-272-4098**. Any inquiry of a general nature or relating to the status of this application should be directed to the TC 2100 whose telephone number is (571) 272-2100.

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12. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PMR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-irect.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

YC  
Yong J. Choe  
Examiner / Art Unit 2185



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